

PATENT



0300
ATTORNEY DOCKET NO. UCSF.002.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vishwanath R. Lingappa *et al*) Examiner:
Serial No.: 10/040,206) Art Unit:
Filed: January 2, 2002) INFORMATION DISCLOSURE
For: HIV Capsid Assembly-Associated) STATEMENT TRANSMITTAL
Compositions and Methods)

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


Transmitted herewith is:

- ☒ [X] An Information Disclosure Statement.
- ☒ [X] A PTO-1449.
- ☐ [] Copies of references _____ as listed on the PTO-1449.
- ☐ [] An International Search Report.
- ☒ [X] Return postcard (postage prepaid).

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202

on 3-22-02
(Date)


(Signature)

Lorraine Sarlat
(Printed Name)

1. ☒ This Information Disclosure Statement is filed before the later of:
 - ☒ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☐ the mailing date of a first office action on the merits.☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.

2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
 - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
 - ☐ a certification as stated below.

3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
 - (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure

Statement; and

- (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

TOTAL FEES: \$

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
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- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

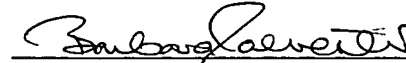
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ATTORNEY DOCKET NO. UCSF.002.01US

[X] A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: March 21, 2002



Barbara Rae-Venter, Ph.D.

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For: HIV Capsid Assembly-Associated)
Compositions and Methods)

**INFORMATION DISCLOSURE
STATEMENT**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

References D1-D18 will be submitted under separate cover.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

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on 3/22/02
(Date)

L. Sarlot
(Signature)

Lorraine Sarlot
(Printed Name)

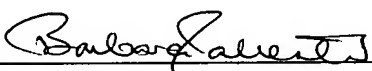
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The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: March 21, 2002



Barbara Rae-Venter, Ph.D.
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ST

- ☐ Patent Application, _____ pps.
 specification, _____ pps claims,
 _____ pps abstract
☐ Drawings: _____ sheets of
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☐ Application Transmittal
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- ☐ Executed/unexecuted Declaration/POA
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☐ Verified Small Entity Statement
☐ Rule 132 Declaration
☐ 312 Amendment after Allowance
☐ Notice to File Missing Parts of App.
☐ Information Disclosure Statement
☐ Petition for Extension of Time
☐ Certificate of Correction
☒ IDS Transmittal, IDS Statement
 PTO-1449 [No. of References 0]
☐ Status Inquiry
☐ Check No. _____ in amount of \$ _____

Serial No.: 10/090,206 Attorney Docket No.: UCSF.002.0145
Attorney/Secretary: CS Mailing Date: 3/22/02



11/11

0330

03C0

Docket No: 11CSF.002.01US

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FILING ATTORNEY

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